

Lincoln Investment

Platform Fees and Disclosures – Solutions

Account Service Fees for Brokerage and Advisory Accounts¹

(Applies to Investor Solutions, Retirement Solutions, Investor Solutions Premier, and Retirement Solutions Premier)

Account Service	Fee	Frequency
Platform Fee ²	\$16.25	Quarterly
Mutual Fund Purchases at Public Offering Price (Greater than NAV) ³ - (Only Applicable to Investor Solutions and Retirement Solutions)	Includes a Sales Charge of up to 5.75%	Initially (Each Investment) ³
Overnight Check Delivery - Weekday (U.S.) or Saturday (U.S.) Delivery	\$15	Per Event
Fed Fund Wire	\$25	Per Event
Returned Check/ACH Payments	\$35	Per Event
Stop Payment Request	None	Not Applicable
Loan Annual Administrative Fee	\$60	Initially and Annually ⁴
Qualified Domestic Relations Order (QRDO) Distribution Fee	\$100	Per Event
Termination Fee - Retirement Account ⁵	\$60	Per Event

¹ The above fees are in addition to your investment advisory fee assessed on Investor Solutions Premier and Retirement Solutions Premier accounts. See your investment advisory agreement with us and our Form ADV Part 2A and Appendix I for additional information regarding fees, including minimum fees, and the Investor Handbook for additional information on conflicts and disclosures.

² Each investor on the Solutions platform will pay an annual per investor Platform Fee of \$65 (\$16.25/quarter). This fee is assessed only to the first account opened on the Solutions platform under a single tax ID#. Fee covers trading, confirmation and statement costs. An individual or an entity with an aggregate balance exceeding \$1,000,000 will be waived of the Solutions Platform Fee for each calendar quarter that the balance remains above \$1,000,000. An individual or an entity establishing his/her first account on the Solutions platform will be waived of the Solutions Platform Fee if the value of the investor's new Solutions accounts, in aggregate, are under \$5,000. Investors with balances under \$5,000 will continue to be eligible for the platform fee waiver for a maximum of twelve (12) calendar quarters, or until the aggregate value of the investor's accounts on the Solutions platform exceed \$5,000, whichever occurs first. Solutions Participant-Directed accounts are assessed the Platform Fee for each account opened or maintained on the Solutions Participant-Directed platform and are excluded from waiver eligibility.

³ Not applicable to exchanges. See Disclosures, Section VI, Other Compensation and Conflicts of Interest, Mutual Fund Compensation, of the Investor Handbook for further details.

⁴ Taken at time of loan application approval and annually in April.

⁵ Assessed when final Solutions retirement account closes. No termination fee applies to Solutions non-retirement accounts.

In addition to these fees and costs, Lincoln Investment also receives other compensation from third party product providers and platform providers. Please review our **Terms & Conditions** for opening an account as well as **Disclosures, Section VI, Other Compensation and Conflicts of Interest** of **The Lincoln Investment Companies Investor Agreement and Disclosure Handbook** for further details, including conflicts of interest applicable to Lincoln Investment. For additional costs, information and conflicts related to mutual funds and other investments available through our brokerage and advisory accounts, review the **Education** section of **The Lincoln Investment Companies Investor Agreement and Disclosure Handbook** including **Section V, Mutual Funds**, and the prospectus for each fund. For additional costs, information and conflicts related to advisory offerings, including advisory fees and share class selection which will impact your account performance, see the **Lincoln Investment ADV Part 2A and Appendix I**.

The most current version of the Platform Fees and Disclosures is available at www.lincolninvestment.com and is subject to change.



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Advisory services offered through Capital Analysts or Lincoln Investment, Registered Investment Advisers.
Securities offered through Lincoln Investment, Broker-Dealer, Member FINRA/SIPC.